

Annual Statement of Compliance (GSX GM Fund Listing)

Member Firm: _____

Listed Issuer Title: _____

The Controllers have taken all reasonable care to ensure that the facts stated in this document are true and accurate in all material respects, and that there are no other facts the omission of which would make misleading any statement in the document, whether of facts or opinion. The Controllers accept responsibility accordingly, and undertake in the provision of this annual statement of compliance to confirm:

If a new listing: on-going compliance with the continuing obligations set out in the GSX Global Market Listing Codes for Funds.

If an existing listing: compliance during the last year and on-going compliance with the continuing obligations set out in the GSX Global Market Listing Codes for Funds.

Name of Issuer Management
(print)

Name of Member Firm Nominated Person
(print)

Signed

Signed

Date

Date